The public reporting burden for this collection of in reviewing the collection of information. Send comm (9000-0095). Respondents should be aware that r PLEASE DO NOT RETURN YOUR C 1.a. NAME OF CONTRACTOR/SUBCONT b. ADDRESS (Include ZIP Code)	nents regarding this notwithstanding any c	burden estimate or an other provision of law,	other aspect of th	ding the time for re	REPORT OF INVENTIONS AND SUBCONTRACTS (Pursuant to "Patent Rights" Contract Clause) (See Instructions on back)										
	RACTOR		•	subject to any per	ormation, including nalty for failing to c	suggestions fo omply with a co	r reducing the burde llection of information	en, to the De on if it does i	epartment on hot display	of Defense, a currently	Executive S valid OMB	Services Directorate			
b. ADDRESS (Include ZIP Code)	1.a. NAME OF CONTRACTOR/SUBCONTRACTOR			2.a. NAME (2.a. NAME OF GOVERNMENT PRIME CONTRACTOR C. CONTRACT NUM							3. TYPE OF RE	PORT (X one)		
b. ADDRESS (Include ZIP Code)												a. INTERIM	b. FINAL		
		d. AWARD DATE b. ADDRESS (Incl			SS (Include ZIP Code)					d. AWARD I		4. REPORTING PE	RIOD (YYYYMMDD)		
			(YYYYMMDD)		,						MDD)	a. FROM			
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5. "SUBJECT INVENTIONS" REQUIRED	TO BE REPORT		OR/SUBCONT	RACIOR (If "IN	lone," so state)			1				1			
								ELECTION TO FILE		i (X)	CONFIRMATORY INSTRUMENT OR ASSIGNMENT FORWARDED TO CONTRACTING OFFICER (X)				
NAME(S) OF INVENTOR(S)		TITLE OF INVENTION(S)			DISCLOSURE NUMBER, PATENT APPLICATION SERIAL NUMBER OR PATENT NUMBER C.				d.						
(Last, First, Middle Initial)							(1) UNITE	D STATES	(2) FO	REIGN		e.			
a.		b.					(a) YES	(b) NO	(a) YES	(b) NO	(a) YES	(b) NO			
f. EMPLOYER OF INVENTOR(S) NOT EMPLOY	ED BY CONTRACTO	DR/SUBCONTRACTO	R			g. ELECTED	FOREIGN COUNT	RIES IN WH	ICH A PA			VILL BE FILED			
(1) (a) NAME OF INVENTOR (Last, First, Middle Initial)		(b) NAME OF EMPLOYER								IGN COUN	SN COUNTRIES OF PATENT APPLICATION				
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(b) NAME OF EMPLOYER		(c) ADDRESS OF EMPLOYER (Include ZIP Code)													
(c) ADDRESS OF EMPLOYER (Include ZIP Code	e)														
		(2) (a) NAME OF INVENTOR (Last, First, Middle Initial)													
		(-, (-,		,											
		SEC	TION II - SUI	BCONTRACT	S (Containing	a "Patent F	Rights" clause)			1					
6. SUBCONTRACTS AWARDED BY CON	TRACTOR/SUB				- (<u> </u>								
			FAR "PATEN	T RIGHTS"						SUBCONTRACT I	DATES (YYYYMMDD				
		NUN		CONTRACT	d.	DESCRIPT		TION OF WORK TO BE PERFORMED		MED		f.			
				JMBER(S)	(1) CLAUSE NUMBER	(2) DATE (YYYYMM)		UNDER SUBCONTRACT(S)				(1) AWARD	(2) ESTIMATED COMPLETION		
a.		b.		С.	NOWBER	(111110101)			e.				COMPLETION		
				SECTION II	I - CERTIFICA										
7. CERTIFICATION OF REPORT BY CON	ITRACTOR/SUB		t required if: (X	as appropriate			r		NPROFI	TORGAN					
I certify that the reporting party h "Subject Inventions" have been rep	•	s for prompt ide	ntification an	d timely disc	losure of "Su	ıbject Invei	ntions," that s	uch prod	edures	have be	en follo	wed and that a	ll		
a. NAME OF AUTHORIZED CONTRACTOR/SUE OFFICIAL (Last, First, Middle Initial)	b. TITLE				c. SIGNATURE						d. DATE SIGNED (YYYYMMDD)				

GENERAL

This form is for use in submitting INTERIM and FINAL invention reports to the Contracting Officer and for use in reporting the award of subcontracts containing a "Patent Rights" clause. If the form does not afford sufficient space, multiple forms may be used or plain sheets of paper with proper identification of information by item number may be attached.

An INTERIM report is due at least every 12 months from the date of contract award and shall include (a) a listing of "Subject Inventions" during the reporting period, (b) a certification of compliance with required invention identification and disclosure procedures together with a certification of reporting of all "Subject Inventions," and (c) any required information not previously reported on subcontracts containing a "Patent Rights" clause.

A FINAL report is due within 6 months if contractor is a small business firm or domestic nonprofit organization and within 3 months for all others after completion of the contract work and shall include (a) a listing of all "Subject Inventions" required by the contract to be reported, and (b) any required information not previously reported on subcontracts awarded during the course of or under the contract and containing a "Patent Rights" clause.

While the form may be used for simultaneously reporting inventions and subcontracts, it may also be used for reporting, promptly after award, subcontracts containing a "Patent Rights" clause.

Dates shall be entered where indicated in certain items on this form and shall be entered in six or eight digit numbers in the order of year and month (YYYYMM) or year, month and day (YYYYMMDD). Example: April 2005 should be entered as 200504 and April 15, 2005 should be entered as 20050415.

1.a. Self-explanatory.61.b. Self-explanatory.61.c. If "same" as Item 2.c., so state.61.d. Self-explanatory.62.a. If "same" as Item 1.a., so state.62.b. Self-explanatory.62.c. Procurement Instrument Identification (PII) number of contract (DFARS 204.7003).72.d. through 5.e. Self-explanatory.7

5.f. The name and address of the employer of each inventor not employed by the contractor or subcontractor is needed because the Government's rights in a reported invention may not be determined solely by the terms of the "Patent Rights" clause in the contract.

Example 1: If an invention is made by a Government employee assigned to work with a contractor, the Government rights in such an invention will be determined under Executive Order 10096.

Example 2: If an invention is made under a contract by joint inventors and one of the inventors is a Government employee, the Government's rights in such an inventor's interest in the invention will also be determined under Executive Order 10096, except where the contractor is a small business or nonprofit organization, in which case the provisions of 35 U.S.C. 202(e) will apply.

5.g.(1) Self-explanatory.

5.g.(2) Self-explanatory with the exception that the contractor or subcontractor shall indicate, if known at the time of this report, whether applications will be filed under either the Patent Cooperation Treaty (PCT) or the European Patent Convention (EPC). If such is known, the letters PCT or EPC shall be entered after each listed country.

6.a. Self-explanatory.
6.b. Self-explanatory.
6.c. Self-explanatory.
6.d. Patent Rights Clauses are located in FAR 52.227.
6.e. Self-explanatory.
6.f. Self-explanatory.
7. Certification not required by small business firms and domestic nonprofit organizations.
7.a. through 7.d. Self-explanatory.