

In vivo dynamics of RNA polymerase II transcription

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We imaged transcription in living cells using a locus-specific reporter system, which allowed precise, single-cell kinetic measurements of promoter binding, initiation and elongation. Photobleaching of fluorescent RNA polymerase II revealed several kinetically distinct populations of the enzyme interacting with a specific gene. Photobleaching and photoactivation of fluorescent MS2 proteins used to label nascent messenger RNAs provided sensitive elongation measurements. A mechanistic kinetic model that fits our data was validated using specific inhibitors. Polymerases elongated at 4.3 kilobases min⁻¹, much faster than previously documented, and entered a paused state for unexpectedly long times. Transcription onset was inefficient, with only 1% of polymerase-gene interactions leading to completion of an mRNA. Our systems approach, quantifying both polymerase and mRNA kinetics on a defined DNA template *in vivo* with high temporal resolution, opens new avenues for studying regulation of transcriptional processes *in vivo*.

Transcription by RNA polymerase II (Pol II) is at the core of gene expression and hence is the basis of all cellular activities. Little information exists about the kinetics of this process in live cells¹, as understanding of gene expression regulation comes from studies using purified proteins. For instance, the subunits of the elongating Pol II are well known² and the crystal structure of this enzyme explains much of its behavior *in vitro*^{3,4}. mRNA transcription can be deconstructed into a succession of steps: promoter assembly, clearance and escape⁵, followed by elongation and termination. The process of transcriptional initiation involves several structural changes in the polymerase as the nascent transcript elongates⁶. Early in initiation, the polymerase can produce abortive transcripts^{7,8}. These abortive cycles have been observed with a single prokaryote polymerase (RNAP) releasing several transcripts without escaping the promoter^{9,10}. The elongation step can be regulated by pausing for various times, as demonstrated using prokaryotic polymerases *in vitro*^{11,12}. For eukaryotic cells, attempts have been made to calculate the endogenous elongation speed using run-on assays¹³, reverse-transcription (RT)-PCR¹⁴ or fluorescence *in situ* hybridization (FISH)¹⁵ on specific mRNAs, and these have yielded apparent elongation estimates ranging from 1.1 to 2.5 kilobases (kb) min⁻¹. To date, no assay has been developed to measure the various steps of Pol II transcription in a living cell. For instance, although abortive initiation is widely believed to occur, the dynamics of this event are unknown, including whether initiating polymerases are committed to entering processive elongation or whether they may dissociate from the DNA, and the probability of each event. Furthermore, no assay exists that can measure elongation speed on a chromatin template within a live cell. Accurate

measurements of the kinetics of transcription are fundamental to the understanding of transcription assembly, transcriptional regulation and cross-talk with transcription-coupled processes.

Here we report accurate *in vivo* measurements of the mammalian Pol II engaged in each of the steps of active transcription. We previously developed a method for the *in vivo* labeling of mRNA transcripts containing a series of repeated stem-loops (from phage MS2), which are specifically bound by an MS2 coat protein fused to green fluorescent protein (GFP)¹⁶. The assay consists of a human cell line harboring a gene array into which these stem-loops have been integrated¹⁷. We have now used this system to follow the synthesis of RNA in real time. Our method allows direct measurement of Pol II initiation events as well as elongation in isolation from the other steps of transcription. By using a deterministic computational model constrained by extensive data sets and tested with transcription inhibitors, we were able to extract features of transcription heretofore unexplored and provide a guide for application of the method to other genes.

RESULTS

Kinetics of Pol II transcription

We used a cell line with a stable integration of approximately 200 repeats of a gene cassette at a single locus¹⁷, each containing 256 upstream *lacO* repeats¹⁸ and a minimal cytomegalovirus (CMV) promoter coupled to a tetracycline-operator cassette controlling a gene that encodes a functional mRNA with 24 MS2 repeats in its 3' untranslated region^{16,19} (Fig. 1). We could detect the locus using the lactose repressor fused to red (Fig. 1b,e,h,k) or cyan (Fig. 1n,r,v)

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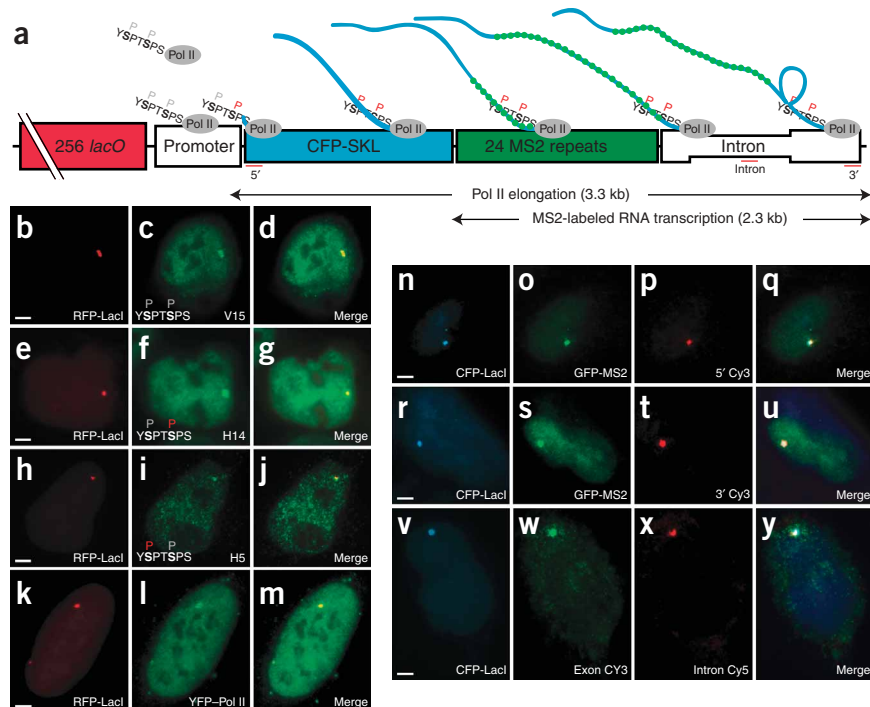


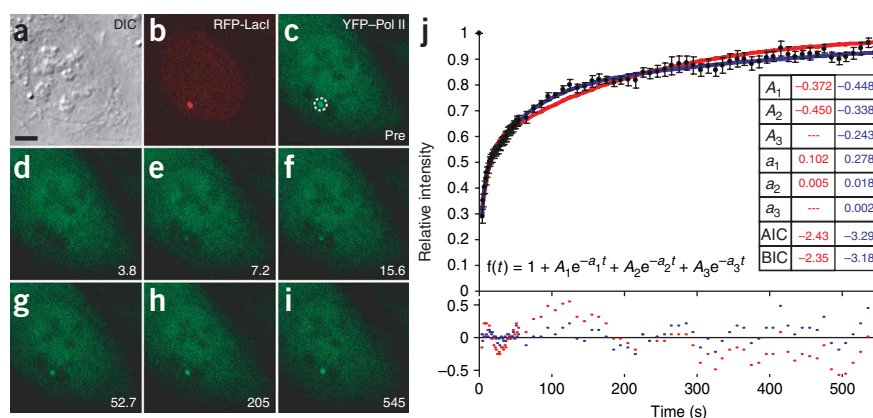
Figure 1 Detecting transcription *in vivo* using fluorescence microscopy. **(a)** Schematic of the gene cassette¹⁷ stably integrated into chromosomes of human U2OS cells. P above protein sequence denotes Pol II phosphorylation state (red, phosphorylated). Reverse tet transactivator (rtTA) in the presence of doxycycline drives gene expression from a minimal CMV promoter¹⁷. Arrows indicate the 3.3-kb region transcribed by Pol II and the 2.3-kb region labeled by GFP-MS2 fusion proteins. Red lines indicate targets of FISH oligonucleotide probes. **(b–m)** Active transcription sites recruit Pol II. In **b,e,h,k**, RFP-LacI labels gene locus. Immunofluorescence (using indicated antibodies) reveals Pol II in three phosphorylation states: unphosphorylated (**c**), phosphorylated at Ser5 (**f**) and phosphorylated at Ser2 (**i**). **I** shows that the transcription site recruits YFP–Pol II (YFP-RPB1 α Am'). In **n–y**, nascent mRNAs were detected at active sites. In **n,r,v**, CFP-LacI labels gene locus. In **o,s**, mRNAs bound by GFP-MS2 were detected by FISH (probes at 5' and 3' ends are shown in **p,t**). FISH signals at exon (**w**) and intron regions (**x**) colocalize only at transcription site (see merge of each row, **q,u,y**). Scale bars, 5 μ m.

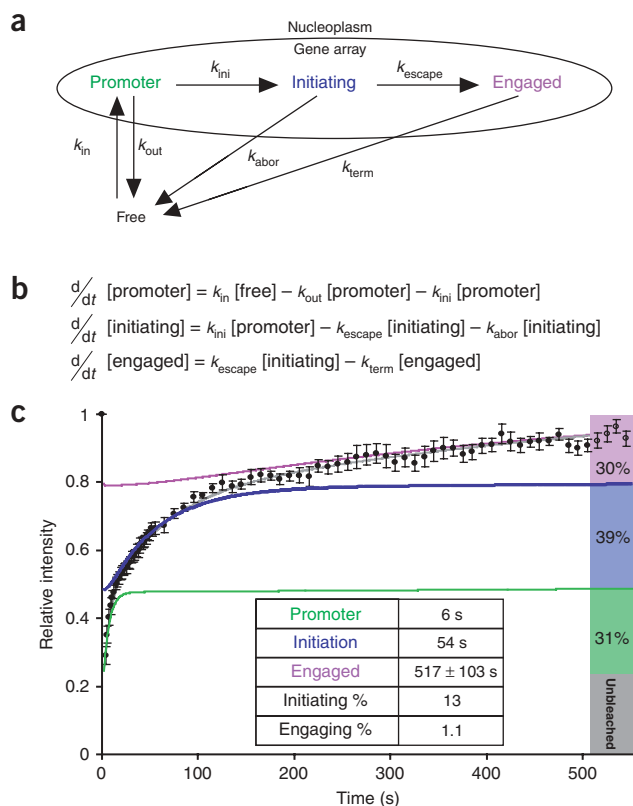
fluorescent protein (RFP-LacI or CFP-LacI). Transcription was activated by the doxycycline-induced binding of a VP16 transactivation domain fused to a modified tetracycline repressor DNA-binding element. Upon transcriptional activation, we monitored the number of nascent precursor mRNAs (pre-mRNAs) at the locus using quantitative FISH²⁰ (**Fig. 1p,t**), the binding of MS2-GFP protein to the nascent mRNAs (**Fig. 1o,s**) or the recruitment of RNA polymerase to the site (**Fig. 1c,f,i,l**). The total number of mRNAs detected at the site ranged from 200 to 400, with an average of two polymerases per transcription unit. Probes directed to either exon (**Fig. 1w**) or intron sequences (**Fig. 1x**) demonstrated the presence and correct excision of the intron at the transcription site; exon probes detected the distribution of messenger ribonucleoprotein particles in the

nucleoplasm¹⁹ and cytoplasm²¹, whereas intron probes detected only the transcription site^{22,23}.

Phosphorylation of the C-terminal domain (CTD) of the Pol II large subunit (RPB1) indicates its activity status²⁴. Immunostaining with antibodies to the unphosphorylated CTD (**Fig. 1b–d**), CTD phosphorylated on Ser5 (**Fig. 1e–g**) or CTD phosphorylated on Ser2 (**Fig. 1h–j**) indicated that all polymerase activity states are present at the transcription site, suggesting that they participate in the three main processes of transcription: promoter binding, promoter clearance and elongation (**Fig. 1a**). A yellow fluorescent protein (YFP) fusion of the large subunit of Pol II was recruited and detected at the transcription site, allowing study of the dynamics of these three states (**Fig. 1k–m**).

Figure 2 Quantifying Pol II transcription kinetics *in vivo*. Fluorescence recovery after photobleaching of the transcription site is shown in **a–i**. **(a)** Differential interference contrast images of live cells. **(b)** RFP-LacI labels gene locus. **(c)** Dashed circle indicates photobleached region. **(d–i)** Bleaching (**d**) and recovery (**e–i**) of YFP–Pol II¹⁷ at active site, monitored for 545 s. Scale bar, 5 μ m. **(j)** Pol II FRAP data (black; $n = 10$) fit to a sum of exponentials (see equation) to determine the minimal model complexity. This was done using generalized least-squares optimization as implemented in the SAAM II software package (<http://depts.washington.edu/saam2/>). Goodness of fit was evaluated by requiring that coefficients of variation on the parameter estimates were less than 30% and by checking for a random distribution of residuals around 0 (red and blue dots in lower chart represent residuals for two and three exponentials, respectively). By these criteria, a fit of the Pol II FRAP data requires three exponentials (blue), as residuals are not randomly distributed when fit to two exponentials (red). The Akaike information criterion (AIC)⁵⁹ and the Bayes-Schwarz information criterion (BIC)⁶⁰ for two- and three-exponential models are reported in the inset table. These standard quantitative measures of goodness-of-fit penalize additional model parameters. If the fit is sufficiently improved to justify the increased complexity of the model, then the AIC and BIC of the more complex model will be less than those of the simpler model. By this measure, three exponentials are superior to two in modeling our data. Error bars show s.e.m.





Real-time transcription was monitored via the dynamics of fluorescent fusion proteins^{19,25}. By measuring several hundred engaged polymerases, we averaged out the stochastic ‘noise’ of individual gene expression. We selected a stable cell line expressing YFP fused to an α -amanitin-resistant RPB1 mutant (YFP-RPB1 α Am^r). Under α -amanitin selection, endogenous RPB1 was degraded²⁶ and there was no detectable growth phenotype²⁷. Doxycycline-induced cells expressing YFP-RPB1 α Am^r showed an accumulation of the YFP–Pol II signal at the actively transcribing locus (Fig. 11). Fluorescence recovery after photobleaching (FRAP) was measured by bleaching the YFP–Pol II signal enriched at transcription sites (Fig. 2) while the locus was continuously tracked using the RFP–LacI signal (Fig. 2b). A multi-exponential fit of the recovery data revealed that a minimum of three kinetically distinct populations of polymerases were needed to describe the data (Fig. 2j). We postulated that the fraction of the recovery data showing the slowest kinetics represents post-initiation polymerases engaged with the gene and involved in elongation, the fastest fraction represents transient Pol II primary interactions at the promoter site, and the intermediate fraction represents initiation events. We then analyzed fluorescence recovery at the transcription site using mechanistic kinetic models²⁵ to quantitatively assess our hypothesis. We chose to apply a binding-dominated model, as we were able to verify that nucleoplasmic diffusion of YFP–Pol II did not influence our results (see below). The model (Fig. 3a) simulated the kinetics of Pol II assembly and elongation, and allowed the resolution of time constants for polymerases entering at a single site and the relative molecular flux for each step of the transcription process. A simple, linear sequential model could not fit the data, as this would mean that at steady state almost all the polymerases would accumulate at the slowest (rate-limiting) step, so three kinetically distinct populations would not be resolved.

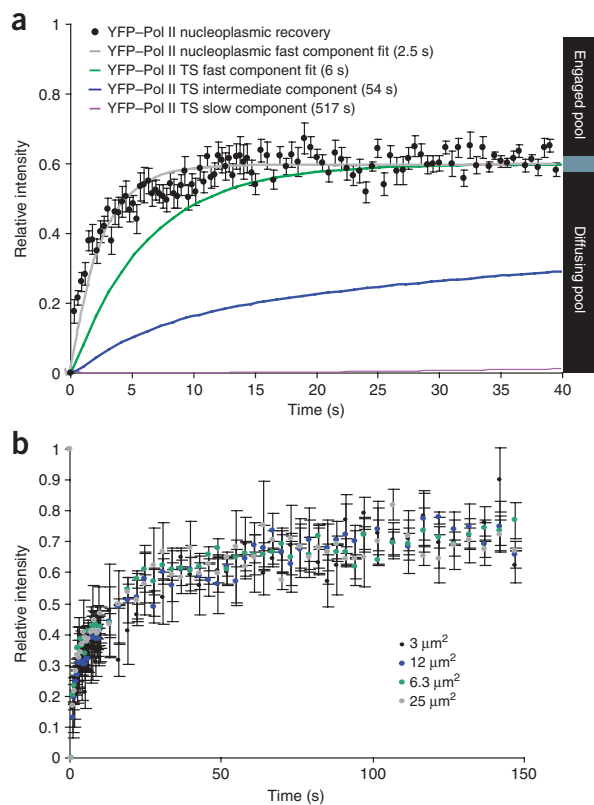
Figure 3 Polymerase II mechanistic kinetic model used to simulate the data. (a) Arrows labeled with rate constants represent transitions. (b) Differential equations simulating the mechanistic model in a, used to analyze the data in c. (c) Normalized fluorescence recovery of YFP–Pol II after photobleaching (black dots; data are the same as in Fig. 2j). The best-fit solution for the mathematical model (gray) characterizes three kinetically distinct states of Pol II (green, blue and purple, respectively) and predicts the steady-state fraction accumulating in each state (right bars). Inset table lists residence times for each state and probabilities for each step derived from the model in a and equations in b.

Two types of models could fit the data: the first would simulate three independent populations of Pol II, each committed to performing only a specific step of transcription, whereas the second model would involve three interconnected and dependent populations. We considered the first solution biologically unrealistic, because then each Pol II subpopulation would have to be recruited independently for promoter binding, initiation and elongation. We therefore pursued the second model and formulated it as a system of ordinary differential equations (Fig. 3b). The model included the sequential steps of polymerase assembly, initiation and elongation as well as exit points for the polymerase from each step, and it therefore was not constrained to linearity (see above). These exit points represented abortive release from the pre-initiation or initiation complex²⁸ (Fig. 3a), as suggested by the observation that RNA polymerases have an intrinsic tendency to abort initiation after transcribing a short RNA molecule^{5,29}. Parameter optimization constrained by the experimental data yielded a consistent solution (Fig. 3c, gray curve). In the solution, the mean residence times of the three kinetic fractions for promoter binding, initiation and elongation were 6, 54 and 517 s, respectively (Fig. 3c, green, blue and purple curves, respectively; Table 1 summarizes all the measurements). These data indicate that, as in many nuclear events, the association of a molecule with its target is based on a series of transient interactions³⁰.

Pol II commitment to the gene is highly inefficient

The best-fit solution of this model predicts that only 13% of the polymerases interacting with the promoter are delivered to the initiation step and that only 0.6% of these engage in a longer-lasting process consistent with elongation (Fig. 3c). The net result of these sequential processes is that only 1 polymerase in 90 interaction events proceeds to elongation and produces an mRNA molecule. Despite the progressive order-of-magnitude increases in the residence time (6 s, 54 s and ~500 s (517 ± 103 s)) for their respective components, each of the polymerase populations represents about one-third of the steady-state polymerases (Fig. 3c, green, blue and purple bars). This equilibrium is the result of the balanced loss of polymerases during the steps of transcription.

In our analysis, we assumed that Pol II diffusion would not affect the measured recovery speed and therefore need not be included in the model; however, we tested this assumption using established methods^{31,32}. First, we compared the dynamics of recovery of the gene array to the corresponding recovery of a nucleoplasmic region distant from the array, where it has been shown that the freely diffusing Pol II represents nearly three-fourths of the signal, whereas the engaged polymerases represent one-fourth³³. This approach compared regions with different numbers of binding sites. The transcription site, containing 200 copies of the gene cassette, amplified the bound signal, whereas the nucleoplasmic region, expected to contain few active genes, reflected the recovery expected from predominately free rather than



bound polymerases^{33,34}. The recovery curve (Fig. 4a, gray curve) was fit to a simple differential equation:

$$\frac{di}{dt} = k_{\text{dif}}[\text{nPolII}] - k_{\text{dif}}[\text{rPolII}]$$

where i is the intensity at the site, k_{dif} is the kinetic rate constant of diffusion for this particular setting, $[\text{nPolII}]$ is the concentration of YFP-Pol II molecules present outside the bleached area and $[\text{rPolII}]$ is the concentration inside the bleached area. This allowed us to obtain a k_{dif} of 0.4 s^{-1} , corresponding to a residence time in the bleached region of 2.5 s. The three kinetic components extracted from the Pol II simulation were then plotted (all the diffusion simulations were normalized to range from 0 to 0.6, so that they represent a diffusive range of that magnitude in comparison to the data normalized to range from 0 to 1). The resulting graph demonstrates that even the fastest two components revealed by the model have recovery curves that are distinguishable from diffusion.

Figure 5 The transcription inhibitor DRB specifically affects the slow component. (a) Data from confocal microscopy (green squares, data from Fig. 2j, with curve (upper blue line) showing three-exponential fit using kinetic parameters from Fig. 2j) and three-dimensional wide-field microscopy (gray squares; $n = 13$) yield similar kinetics. YFP-Pol II kinetics in cells treated with the transcription elongation inhibitor DRB³⁵ (black dots; $n = 5$) were fit using the same kinetic parameters, but engaged residence time was increased to an infinite value. The resulting curve (lower blue line) demonstrate that the slow component is dependent on elongation. Error bars show s.e.m. (b) Modeling the goodness of fit for the Pol II component. Errors of $\pm 20\%$ (gray) or $\pm 40\%$ (red) modeled to demonstrate the accuracy of the best-fit curve (blue) from which the rate constants are derived. As the data fall within the 20% error curves, we determined a residence time of $517 \pm 103 \text{ s}$ (20% error) for the slow component (Fig. 3c; black dots show same data as in Fig. 2j).

Figure 4 Diffusion is not a significant factor in the Pol II kinetic model. (a) FRAP was measured for YFP-Pol II in the nucleoplasm, where the local concentration of genes is lower than at the gene array. During 40 s of recovery, we observed only the diffusing population of polymerases (60% of the recovery signal; black dots). This component was fit with a kinetic rate constant (k_{dif}) to describe the influx and efflux of molecules with respect to the nucleoplasmic bleached regions (gray curve). We also plotted the transcription site (TS) kinetic components for comparison. The fastest component (green) corresponds to a residence time of 6 s. The intermediate component (blue) is an order of magnitude slower, and the elongating polymerase (purple) is an order of magnitude slower still, as a fixed fraction near 0 was seen on this timescale. Error bars show s.e.m.; $n = 5$. (b) FRAP of YFP-Pol II was monitored for 2.5 min at the transcription site using four different bleached areas and measuring the recovery of the central transcription site. Spot sizes ranged from $3 \mu\text{m}^2$ (12 times the area of a typical active transcription site¹⁹) to $25 \mu\text{m}^2$ (100 times the area of a typical transcription site). Superimposition of these curves demonstrated that the recovery rates we measured are independent of spot size and enabled us to disregard diffusion in our model. Error bars show s.e.m.

Diffusion of Pol II represented 60% of the recovery observed in the nucleoplasm (Fig. 4a), whereas a quickly diffusing component on the gene array remained undetectable over the bound state. This suggests that (i) the enrichment provided by the gene array bound by many polymerases rendered the number of molecules diffusing in and out minimal by comparison, and (ii) none of the processes observed at the locus occurred at a speed similar to that of diffusion. In addition, we tested whether diffusion limits the binding of polymerases at the transcription site. To address this, we performed FRAP experiments using increasingly large bleach spots, as described³². Recovery time was not influenced by an increase in the diffusional distance in the bleached volume, indicating that the diffusing polymerase molecules are available to the locus within the timescale of our fastest observed component. Spot sizes ranged

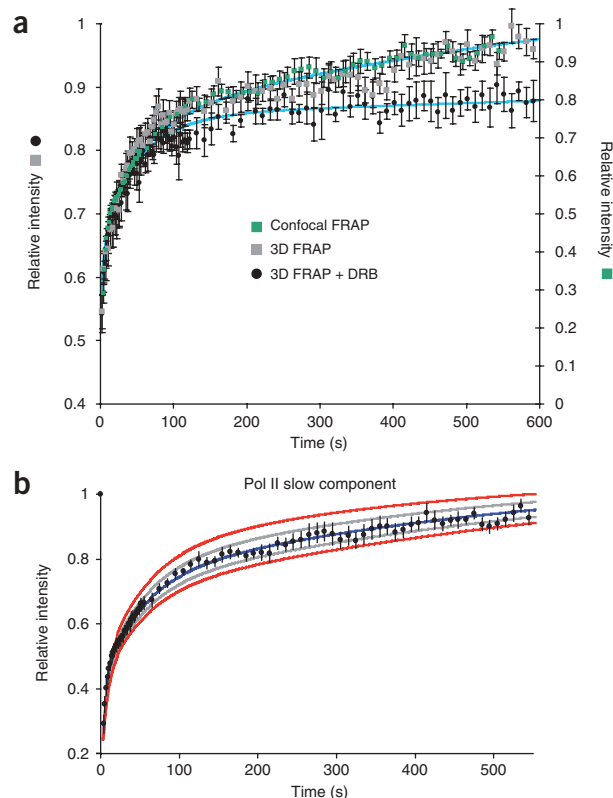
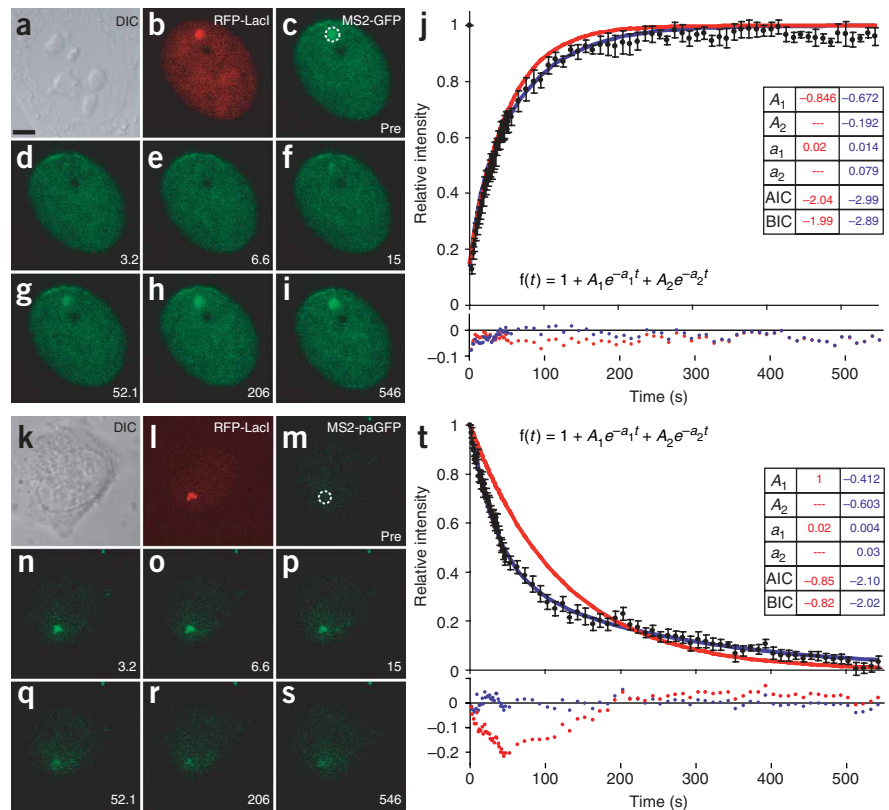


Figure 6 Quantifying mRNA synthesis *in vivo*. (a–s) FRAP (a–i) and loss of fluorescence after photoactivation (k–s) at the transcription site of the MS2-labeled mRNA. a,k show differential interference contrast images of live cells. In b,l, RFP-LacI labels gene locus. In c,m, dotted circle indicates photobleached and photoactivated regions, respectively. d,n show bleached MS2-GFP and activated paGFP-MS2, respectively. e–i show MS2-GFP recovery and o–s show paGFP-MS2 release from transcription site monitored for 10 min. Scale bars, 5 μ m. (j,t) Normalized locus recovery (j) or loss in fluorescence (t) (black dots; $n = 10$). Curves show best-fit solutions for mathematical model (see equation) with single exponential (red) or two exponentials (blue), and inset tables list the resulting parameters (also see residuals in lower chart) along with the Akaike information criterion (AIC)⁵⁹ and the Bayes-Schwarz information criterion (BIC)⁶⁰. See **Figure 2** for details. Both data sets require two exponentials, as the residuals are not randomly distributed with one exponential. When the MS2 photoactivation data (t) are fit to a single-exponential function, all the residuals for $t < 200$ s are negative and all those for $t > 200$ s are positive. If the two fits (j and t) are constrained to use the same Eigen values, the resulting mean residence times are 238 s and 34 s (see also **Table 1**). Error bars show s.e.m.



from 3 μ m² (12 times the surface area of a typical active transcription site¹⁹) to 25 μ m² (100 times the surface area of a typical transcription site) (**Fig. 4b**).

Although diffusion does not contribute to the two slower states, the fastest step could reflect the binding time plus a small contribution from the diffusion of polymerases entering the system (**Fig. 4a**). In any case, this interaction time cannot be greater than the residence time of 6 s calculated from the model. Therefore, for the purposes of modeling, we disregarded diffusion.

The transcriptional inhibitor DRB affects only elongation

We used a transcriptional inhibitor targeting elongation events on the gene array to test and validate the model. We verified that the slow Pol II recovery component represented elongation by FRAP experiments in the presence of 5,6-dichloro-1- β -D-ribofuranosylbenzimidazole (DRB)³⁵, an elongation inhibitor that acts on the positive transcription elongation factor P-TEFb³⁶ (**Fig. 5a**). Under these conditions, the slow recovery phase was undetectable, with a residence time longer than our detection limit, validating this phase as the elongation step. In contrast, the two fastest components were unaffected, irrespective of the acquisition source. This demonstrates that the Pol II slow component depends on elongating polymerases. We checked our model by drawing curves based on residence times that varied by 20% (**Fig. 5b**, gray) or 40% (red) from the elongation time calculated from the best-fit curve (blue). The data fall within the 20% error curves. Hence, we set the elongation time at 517 ± 103 s.

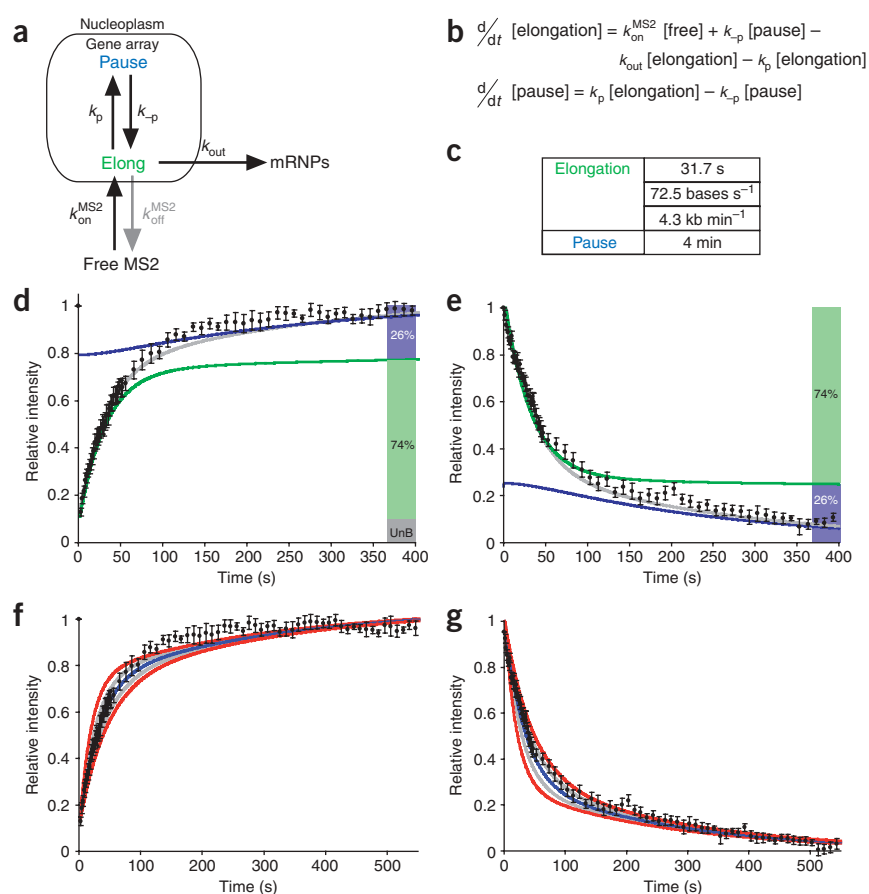
Direct measurements of elongation kinetics

The average polymerase velocity over this time (517 s) for the 3.3-kb gene would be approximately 378 bases min^{-1} , much slower than has

been reported³⁷. This suggests that an additional process may be taking place within the elongating population. To investigate the elongation kinetics at a temporal resolution capable of distinguishing subpopulations and independent of the preceding initiation events, we directly imaged transcription of the MS2-labeled RNA on the last 2.3 kb of the gene (**Fig. 1a**). We measured the recovery kinetics of fluorescent MS2 fusion protein bound to the MS2 stem-loops in the mRNA after photobleaching the transcription site (**Fig. 6a–i**), providing readouts that correlate with the rate of transcription on the gene. Notably, the FRAP curve best fit a sum of two exponential functions, one fast and one much slower (**Fig. 6j**). Because the recovery was dominated by the faster component, the second exponential could not be resolved with confidence. Consequently, to delineate the kinetics of the slower fraction, we used a photoactivatable GFP (paGFP) fusion of the MS2 protein¹⁹ (**Fig. 6k–s**). In contrast to the photobleaching experiment, previously transcribed MS2-tagged mRNA was fluorescently activated and monitored until its release from the transcription machinery. This allowed resolution of the slower fraction with higher confidence, as it quickly became the sole contributor to the measured fluorescence after the fast component had disappeared (**Fig. 6t**). The photoactivation experiment thus confirmed the hypothesis that elongation consists of two kinetically resolved components, one fast and one much slower. Both photobleaching recovery and photoactivation data were fit to a single kinetic model (as they measured the same events), in which their initial step (entry point) was elongation, the process responsible for synthesizing new MS2-binding sites, and the end step (exit point) was mRNA release into the nucleoplasm¹⁹.

The slow component of the FRAP and photoactivation curves must correspond to a transcriptional process, because adding DRB resulted in the disappearance of the signal at the transcription site (data not

Figure 7 Modeling the kinetics of elongation during mRNA synthesis. **(a)** Model of mRNA synthesis with two states, elongation and pausing, corresponding to kinetic parameters derived independently from **Figure 6j,t**. Arrows labeled with rate constants represent transitions. mRNPs, messenger ribonucleoprotein particles. **(b)** Differential equations corresponding to the model. **(c)** Residence times for each state. **(d,e)** Fits of the data from **Figure 6** to this mathematical model. Shaded bars at right indicate fraction of mRNA in each state (UnB, unbleached fraction). Gray curve is best fit. **(f,g)** Assessment of errors for best-fit curves in **d,e**, as in **Figure 5b**.



shown). It is therefore likely that this slow component corresponds to the data above for DRB-sensitive polymerase activity. Therefore, in the model proposed above, we considered the slow polymerase process highlighted by the MS2 experiments to be a component of elongation, thereby incorporating both sets of kinetics. As polymerase pausing during elongation has been observed for both prokaryotic³⁸ and eukaryotic transcriptional machinery³⁹, we also added the possibility of pausing to the model. The two polymerase states were modeled as elongation with a stochastic transition to pausing (**Fig. 7a**). Optimization of the differential equations from this model (**Fig. 7b**), constrained by fitting of both the photobleaching recovery and photoactivation data simultaneously, yielded an elongation speed of 4.3 kb min⁻¹ with a stochastic transition to a slower synthesis rate (pausing for a cumulative time of 4 min). Modeling showed that this transition from elongation to pausing affected only 4.2% of the polymerases that enter elongation. However, because they stay on the gene longer, they represent 26% of the polymerases seen at the locus (**Fig. 7c** summarizes these parameters for the fits in **Fig. 7d,e**; also see Discussion). As with the curves fit to the polymerase kinetics data, we tested the significance of the best fits (**Fig. 7f,g**, blue) for photobleaching recovery and photoactivation by varying the parameters by 20% (gray) and 40% (red). We tested the fit of each of the components of the model, elongation and pausing. For elongation, we varied the calculated polymerase velocity to find how well this velocity fit (**Fig. 8a,b**). We did the same for pausing, testing various pause efficiencies and times (**Fig. 8c,d**; see Discussion). In addition, we eliminated alternative models using criteria described in the Discussion, because they did not accommodate the experimental results. These FRAP and photoactivation data resolved Pol II elongation into two processes, rapid elongation and probabilistic pausing.

Transcriptional inhibitors reduce elongation kinetics

To validate the elongation component further, we performed GFP-MS2 FRAP experiments after treatment with drugs that inhibited transcription. Actinomycin D intercalates into DNA and stalls the polymerase³⁴, generating a predominantly static fraction in the recovery curve (**Fig. 9a**). The remaining recovering fraction could be fit (albeit with low confidence) to our model using the same parameters as for the data from untreated cells, opening the possibility that our short actinomycin D treatment did not completely abolish

transcription. This is in agreement with the hypothesis that actinomycin D acts on transcription by stalling polymerases. A polymerase could therefore be either stalled by the drug or fully active between intercalation sites. Upon treatment with actinomycin D for longer times, the transcription sites could not be detected owing to the release or degradation of the nascent RNAs. Camptothecin⁴⁰ targets topoisomerase I^{41,42}, which is necessary to relax DNA supercoiling during transcription. Treatment with this drug yielded a slower transcriptional rate of 1 kb min⁻¹ (**Fig. 9b**), consistent with the expected effect of slower DNA unwinding. This quantifies topoisomerase I's contribution to elongation speed. The effects of these drugs were in accordance with their known modes of action. In addition, kinetic modeling provided a quantitative insight into the mechanism of action for each of the drugs. For instance, the increased torsion of the DNA in the presence of the topoisomerase inhibitor reduced the velocity of the polymerase to about one-fourth of its normal speed, a measure of the contribution of unwinding to transcription.

As mentioned above, we also treated cells with DRB before FRAP analysis of MS2-GFP-labeled sites, but the MS2-GFP signal decreased faster than with actinomycin D and did not permit us to perform FRAP measurements even soon after treatment. Therefore, the experiments described above were done at after short DRB treatments on transcription sites where the drug's effect is probably not complete.

DISCUSSION

We have analyzed the transcriptional process *in vivo* on a specific gene array in the mammalian nucleus. Our methodology allowed direct and independent measurements of the Pol II enzyme and its mRNA product and provided rate constants for separate components of

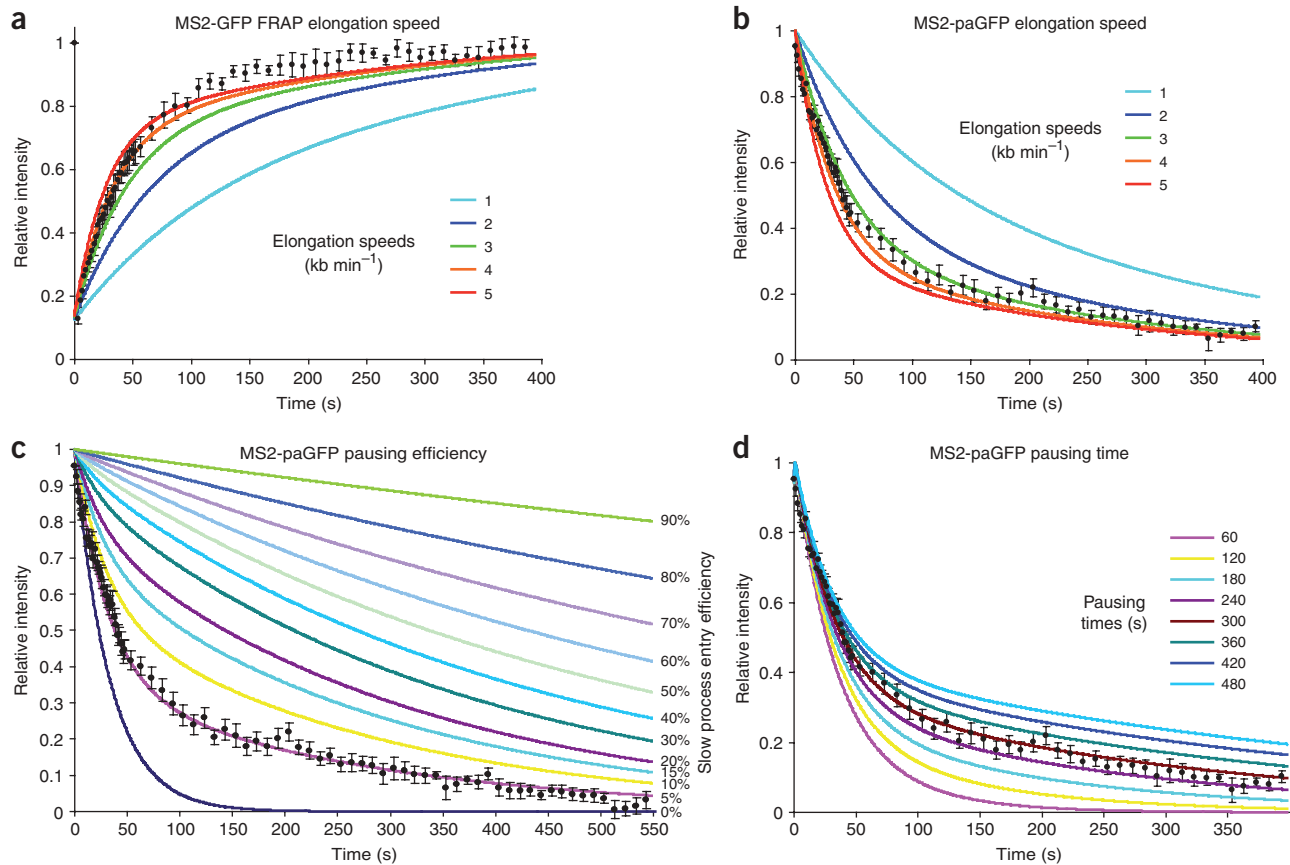


Figure 8 Simulations of RNA synthesis curve fitting to test the effects of different pausing percentages and residence times on our model. **(a,b)** Different elongation speeds ranging from 1 to 5 kb min^{-1} were simulated to illustrate that slower elongation speeds are inconsistent with our FRAP and photoactivation data. Data in **a,b** (black dots) are the same as in **Figure 6j,t**, respectively. **(c)** Best-fit solution predicts that a small fraction of polymerases (4.2% in our solution) enter long pauses; here we explored situations where different amounts of polymerases are forced to pause, ranging from 0% (dark blue) to 90% (green). A nonpausing system is simulated by a single-exponential fast decay, and increasing percentages of pausing allow the slow decay to dominate the simulation, gradually becoming the predominant population at the locus. This demonstrates that our model depends on only a small fraction of the polymerases pausing. Data (black dots) are the same as in **Figure 6t**. **(d)** Curves based on different pausing times illustrate that although our data (black dots; same as in **Fig. 6t**) cannot distinguish small differences in pausing time, larger variations are inconsistent with the data.

transcription: initiation, elongation and pausing (**Table 1** and **Supplementary Discussion** online). The results of our analysis and modeling suggest the following conclusions.

1. A small fraction of polymerases at any moment are paused during elongation for cumulatively long periods. At steady state, they account for about one-fourth of all polymerase signals, because polymerases that do not pause contribute their fluorescent signals for much shorter times. We cannot distinguish whether the pausing of a single polymerase consists of many short pauses or one long pause. If a larger number of polymerases were paused, the genes would fill up with paused polymerases. In our analysis, a model in which more polymerases are paused at any time (for example, 10%) is inconsistent with the data (**Fig. 8c**). Notably, the pausing described here is different from the promoter-proximal pausing observed using chromatin immunoprecipitation of Pol II subunits^{5,43–46}, as the pausing we detected occurred 1 kb downstream from the promoter. Stochastic pausing can be detected only by time-resolved live-cell measurements, and not by a chromatin immunoprecipitation assay, which cannot differentiate paused polymerases that are distributed randomly along each gene and are present in only a small subpopulation of the genes.

2. Elongation in the absence of pausing proceeded much faster than published estimates of overall mRNA synthesis rates (about 70 bases s^{-1} ,

compared with about 30 bases s^{-1}). This is because we measured only the maximum polymerase velocity. Previous studies measured the total time required to produce mRNAs, from transcriptional induction to accumulation of mature mRNAs^{13,14,34}. Those analyses therefore provide an averaged polymerase velocity slower than what we observed.

As the slowest population comprises elongating polymerases, we can estimate the time necessary for transcription starting at the promoter. The mean interaction time of 517 ± 103 s corresponds to an elongation speed of 0.4 ± 0.08 kb min^{-1} . This result is in accordance with a previous report³⁴ that RNA polymerase's average residence time in random positions of the nucleus is about 20 min, corresponding to an elongation speed of 0.7 kb min^{-1} for an average transcription-unit size of 14 kilobases. We consider these values to be lower estimates, as the measurements reflect all the events from commitment to elongation to release from the gene. For instance, it is known that polymerases do not elongate at constant rates: site-specific pauses have been described at splicing and polyadenylation sites, and during early elongation⁴⁷. Additionally, polymerases are known to transcribe further downstream past the cleavage and polyadenylation site⁴⁸. Finally, nothing is known about the time necessary for subsequent release of the RNA.

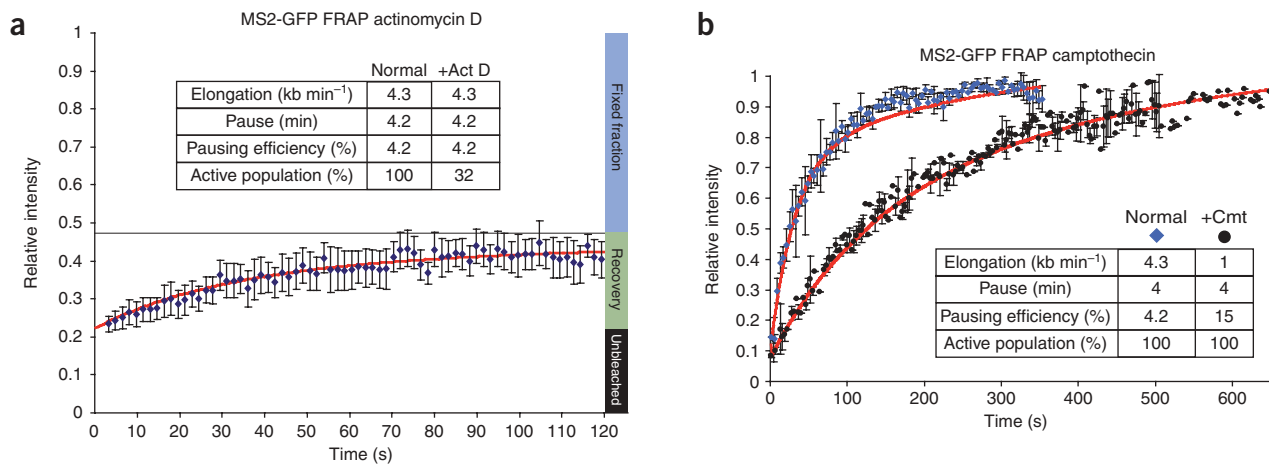


Figure 9 Drugs that inhibit elongation affect the kinetics of RNA synthesis in specific ways. Drugs were added to doxycycline-activated cells and GFP-MS2 transcription sites were photobleached. **(a)** Fluorescence recovery after actinomycin D treatment ($5 \mu\text{g ml}^{-1}$) for 20 min resulted in a large immobile fraction, indicating stalling of the polymerase owing to intercalation. **(b)** Fluorescence recovery in untreated cells (normal) and cells treated with the fast-acting drug camptothecin ($14 \mu\text{M}$) for 15–45 min (+Cmt), detected with a Zeiss confocal microscope (see **Supplementary Methods**). Treatment with camptothecin led to a much slower recovery. These data are consistent with the drug causing inhibition of topoisomerase I, so that polymerases can not proceed at full speed owing to torsional stress imposed by the supercoiling of the DNA⁴¹. Error bars in **a,b** show s.e.m.

High rates of elongation (5.6 kb min^{-1}) have been reported previously for RNA polymerase I transcription in the nucleolus⁴⁹. This value was determined by measuring recovery after photobleaching of the polymerase, but it is similar to our measurement of Pol II elongation speed using the MS2 sites. This suggests that pausing is a Pol II-specific behavior. In the case of Pol I, the high efficiency of promoter escape led to 70-base distances between polymerases, as observed in Miller spreads⁵⁰, implying that pausing within these transcription units would lead to catastrophic stalling. In contrast, polymerases observed on nonribosomal DNA spreads are spaced more than 4 kb apart⁵¹, consistent with our observations of an average of two polymerases per gene (**Table 1**). Taking into account these considerations, transcription by RNA polymerases I and II can be seen as two evolutionarily selected modes of regulation of the same enzymatic process, a view strengthened by their common subunits and conserved structural features.

3. Transcription can be inefficient. According to the above calculation, only 1 in 90 polymerases proceeds to elongation. The observed lack of processivity through the initial step of transcription—promoter escape, or clearance—makes a recycling model unlikely; otherwise, the transcription sites would not have completely recovered from photobleaching. Moreover, it suggests that the low efficiency with which the polymerase binds and engages at the promoter may be biologically useful. For instance, it may reduce the background of transcriptional noise, ensuring efficient transcription from a promoter only when many polymerases persistently interact⁵². Notably, this result contrasts with recent findings on insect systems, which have suggested a recycling mechanism for Pol II molecules sequestered at induced heat-shock loci on polytene chromosomes⁵³. A recycling mechanism for Pol II is not supported by the conditions of our model, where the number of free polymerases is large compared to the number of promoters, but these conditions may not hold for the polytene heat-shock locus.

4. At the single-gene level, the production of mRNA may not be a continuous process. The model predicts that a single gene in the array produces an RNA about once or twice per minute (**Table 1**). As one-fourth of elongating polymerases are paused, at least one-fourth of genes would have a paused polymerase at any given time.

A nonpausing polymerase could transcribe the entire 3.3-kb gene in 46 s (at 4.3 kb min^{-1}). If transcription were initiated by a lead polymerase that paused for a total of 4 min, other polymerases might ‘pile up’ behind it (as though it were a Sunday driver on a narrow road). This would result in discontinuous production of RNA. If the gene were highly expressed (that is, if its transcription were initiated frequently) this could result in ‘pulsing’ of transcription⁵⁴, where the polymerases pile up and then the obstructed transcripts ‘burst’ when the pausing of the downstream polymerase is relieved, possibly by rephosphorylation of the CTD or ‘bumping’ by an upstream polymerase³⁸. Previous work has clearly shown that polymerases can pause for some time before resuming transcription, for instance in response to heat shock or other factors^{5,46}.

5. The amplified gene array provides an ensemble measurement. It allows clear visualization of the transcriptional process owing to the high signal-to-noise ratio of the measured fluorescence. Although transcription has been shown to take place in assemblies of genes and polymerases termed ‘transcription factories’, one should be cautious when extrapolating from the present array system to single genes or single factories. The results obtained from the array were interpreted under the assumption that all genes were equally active. However, the yield per gene would be different if a smaller number of different genes were transcribed. Still, the number of genes could not be small (for example, 20), as the polymerases would become too closely packed to allow the low percentage of pausing we modeled. It is probably also possible that other genes are transcribed more efficiently than the construct described here. For instance, recent unpublished results using similar approaches (E. Bertrand, Institut Génétique Moléculaire de Montpellier, personal communication) suggest that transcription of viral genes may be much more efficient. Thus, varieties of gene expression may be revealed and described more completely and mechanistically using similar quantitative approaches.

A systems-modeling approach combined with a quantitative assessment of the various kinetic parameters of transcription has allowed a more complete understanding of the components of gene expression. If the polymerase velocity is constant because of the polymerase’s inherent enzymatic properties, then the control points for regulating

Table 1 Kinetic parameters and calculations derived from the model solutions

Description	Symbol	Value ^a	Method or equation for determination
Initiation constant	$k_{\text{ini}}^{\text{Pol II}}$	0.0216 s ⁻¹	Model solution
Promoter dissociation constant	$k_{\text{off}}^{\text{Pol II}}$	0.145 s ⁻¹	Model solution
Promoter escape constant	$k_{\text{escape}}^{\text{Pol II}}$	0.00159 s ⁻¹	Model solution
Abortive initiation constant	$k_{\text{abor}}^{\text{Pol II}}$	0.0170 s ⁻¹	Model solution
Termination constant	$k_{\text{term}}^{\text{Pol II}}$	0.0016 < > 0.0024 s ⁻¹	Model solution
mRNA release constant	$k_{\text{out}}^{\text{MS2}}$	0.0302 s ⁻¹	Model solution
mRNA pausing constant	$k_{\text{p}}^{\text{MS2}}$	0.00131 s ⁻¹	Model solution
mRNA pause-releasing constant	$k_{\text{-p}}^{\text{MS2}}$	0.00326 < > 0.00489 s ⁻¹	Model solution
Number of nascent mRNAs in the array	n_{mRNA}	200–400	FISH (this study)
Number of genes in the array	n_{genes}	200	Southern blot ^b
Number of active genes in the array	n_{acts}	200	Assumption ^c
Partition of elongating versus pausing MS2-labeled polymerases	P_{elong}	0.76	Model solution
Ratio: MS2-labeled polymerases/total engaged polymerases	R_{MS2}	0.7	Assumption ^d
Number of elongating Pol II making MS2-labeled mRNA	$n_{\text{MS2 elong}}$	106–213	$P_{\text{elong}} \cdot R_{\text{MS2}} \cdot n_{\text{mRNA}}$
Number of paused Pol II making MS2-labeled mRNA	$n_{\text{MS2 paused}}$	33–66	$(1 - P_{\text{elong}}) \cdot R_{\text{MS2}} \cdot n_{\text{mRNA}}$
Initiation efficiency		13%	$k_{\text{ini}}^{\text{Pol II}} / (k_{\text{ini}}^{\text{Pol II}} + k_{\text{off}}^{\text{Pol II}})$
Promoter release efficiency		8.6%	$k_{\text{escape}}^{\text{Pol II}} / (k_{\text{escape}}^{\text{Pol II}} + k_{\text{abor}}^{\text{Pol II}})$
Pausing probability		4.2%	$k_{\text{p}}^{\text{MS2}} / (k_{\text{p}}^{\text{MS2}} + k_{\text{out}}^{\text{MS2}})$
Promoter residence time	t_{promoter}	6 s	$(k_{\text{off}}^{\text{Pol II}} + k_{\text{ini}}^{\text{Pol II}})^{-1}$
Initiation residence time	$t_{\text{initiation}}$	54 s	$(k_{\text{escape}}^{\text{Pol II}} + k_{\text{abor}}^{\text{Pol II}})^{-1}$
Engaged residence time	t_{engaged}	517 ± 103 s	$(k_{\text{term}}^{\text{Pol II}})^{-1}$
Elongation residence time	$t_{\text{elongation}}$	32 s	$(k_{\text{p}}^{\text{MS2}} + k_{\text{out}}^{\text{MS2}})^{-1}$
Pause residence time	t_{pause}	204 < > 307 s	$(k_{\text{-p}}^{\text{MS2}})^{-1}$
Average frequency of mRNA production in the gene array	f_{array}	3.2–6.4 s ⁻¹	$k_{\text{out}}^{\text{MS2}} \cdot n_{\text{MS2 elong}}$
Average frequency of mRNA production per gene (promoter escape frequency)	f_{gene}	0.016–0.032 s ⁻¹	$f_{\text{array}} / n_{\text{acts}}$

Rate constants obtained from least-squares fits of the experimental data are shown, as well as values calculated from these rates. Rate constants derived from the YFP–Pol II FRAP data have Pol II superscript; rate constants derived from the GFP–MS2 FRAP data have MS2 superscript.

^aThe symbol < > represents lower and upper bounds of determined value. ^bSouthern data provided in ref. 17. ^cTo calculate the frequency of engaged polymerases, we assumed that all 200 genes were activated. ^dPolymerases transcribe 1 kb of nonfluorescent pre-mRNA before entering the MS2 repeat region and then transcribe 2.3 kb of pre-mRNA where they are labeled by fluorescent MS2 proteins linked to the polymerase through the nascent RNA (Fig. 1a). Because we experimentally determined the number of nascent mRNAs present at the transcription site, we assumed that polymerases were evenly distributed on the gene to estimate the number of polymerases loaded on the transcription unit upstream of the MS2-binding repeats (using the ratio 2.3 kb / 3.3 kb). Models were created with ProcessDB and solutions were obtained by exporting these models to Berkeley Madonna.

gene expression could be either at the promoter (initiation frequency) or at the pausing step (Supplementary Fig. 1 online). As the initiation frequency increases, pausing becomes more of a limiting factor; hence, regulation of the pause time is essential to allow high levels of gene expression over short periods of time. Recently, elegant work on the assembly of transcription factors on the heat-shock locus in living *Drosophila* cells⁵³ has demonstrated that new developments in microscopy will allow testing of kinetic hypotheses using endogenous genes to determine the time dependence of splicing or termination. We therefore expect that future results with endogenous genes, as more sensitive microscopy methods are introduced, will reveal the myriad of controls by which genes are expressed⁵⁵.

METHODS

Cell line. A genomic locus into which a gene was integrated served as an inducible transcription site for a 3.4-kb pre-mRNA¹⁷ (Fig. 1a). Preceding the transgene were 256 *lacO* repeats, which could be detected with a LacI repressor protein fused to a fluorescent tag (CFP or RFP, depending on the color combination needed for the experiment) to identify the chromosomal site of integration in living cells¹⁸. The promoter consists of a minimal CMV promoter preceded by 96 Tet operator repeats, which bind a chimeric transcriptional activator composed of the reverse tetracycline repressor (rTetR) doxycycline-dependent DNA-binding domain and the VP16 transactivation domain. The mRNA contained a 5' sequence encoding CFP bearing a tripeptide peroxisome-targeting sequence, allowing us to monitor the translation of the

mRNA via accumulation of the CFP signal in cytoplasmic peroxisomes. For real-time detection of the RNA, we inserted 24 MS2-binding sites downstream of the open reading frame. These sequences are bound efficiently and specifically by a fluorescently tagged MS2 bacteriophage coat protein. The 3' end of the transcript consisted of the last intron-exon module of the mRNA encoding human β -globin, followed by its terminator. Approximately 200 copies of this gene were stably integrated into a euchromatic site in a human osteosarcoma cell line (U2OS)¹⁷.

Cell culture and transfection. Human U2OS osteosarcoma cells containing the integrated gene (clone 2-3-6) were cultured, transfected and transcriptionally activated as described¹⁷. Briefly, cells were cultured in low-glucose DMEM (Invitrogen) with 10% (v/v) FBS and, for live-cell experiments, were maintained in phenol red-free Leibovitz's L15 medium. Cells were transfected by electroporation (using a Bio-Rad Gene Pulser Xcell) with 2 μ g of pTet-On, 2 μ g of pSV2-XFP-Lac repressor and 40 μ g of sheared salmon sperm DNA (Amresco). Plasmids encoding GFP-MS2 with a nuclear localization signal (GFP-MS2-NLS) and a similar construct with photoactivatable GFP (paGFP-MS2-NLS) were cotransfected in some experiments. Cells were plated on coverslips or dishes coated with Cell-Tak (BD Biosciences). Transcription was induced by the addition of doxycycline (1 μ g ml⁻¹) to the medium for 30 min. A stable cell line expressing a YFP-fused, α -amanitin-resistant RPB1 mutant (YFP-RPB1 α Am^r) was established using α -amanitin as a selection marker. Under α -amanitin selection, endogenous RPB1 is degraded²⁶; selected cells were viable for over 1 month with no detectable growth phenotype, demonstrating the full functionality of YFP-RPB1 α Am^r (ref. 27).

Fluorescence *in situ* hybridization. Cells were transfected with 2 μg of pTet-On, 2 μg of pSV2-CFP-Lac repressor and 40 μg of sheared salmon sperm DNA, and also with a plasmid encoding GFP-MS2 where indicated. After the cells adhered to coverslips, transcription was induced by addition of doxycycline for the indicated times. Cells were fixed and fluorescence hybridization was done as described⁵⁶. The probes used were the following: Cy3-conjugated probe targeted to the 5' exonic region of the CFP-SKL module, 5'-ATATAGACGTTGTGGCTGATGTAGTTGTACTCCAGCTTGTGCCCCAGGATA-3'; Cy3-conjugated probe for the 3' region at the end of the mRNA, 5'-TTGGCAGAGGGAAAAGATCTCAGTGGTATTGTGAGCCAGGGCATTGGC-3'; Cy5-conjugated probe for the β -globin intron, 5'-GGCAGGATGATGACCAGG GTGTAGTTGTTTCTACCAATAAGAATATTTC-3'. Bold Ts represent amino-allyl deoxythymidines used for dye coupling.

Immunofluorescence. Cells expressing RFP-LacI were fixed for 20 min in 4% paraformaldehyde and for an additional 2 min in 4% (w/v) paraformaldehyde with 0.5% (v/v) Triton X-100. After washing and blocking in 5% (w/v) BSA, cells were stained with the indicated antibodies for 45 min, washed twice and then incubated with the appropriate secondary antibodies for 45 min.

Antibodies. H14 and H5 antibodies were obtained from hybridoma supernatants⁵⁷ (see Acknowledgements). V15 antibodies were described⁵⁸.

Photoactivation and fluorescence recovery after photobleaching. Transfected cells were plated on 0.17-mm Delta T dishes (Bioprotechs), and transcription was induced by doxycycline 30 min before live-cell imaging. Experiments were performed at 37 °C using a temperature-controlled Delta T4 culture dish system with a heated lid and an objective heater (Bioprotechs). Images were collected using independent imaging platforms, three confocal systems and a wide-field microscope for live-cell imaging, described below. On the Leica confocal microscope, cells were scanned using a 488-nm laser for detection of GFP-MS2, paGFP-MS2 or YFP-Pol II at the locus, and with a 543-nm laser for detection of RFP-LacI. GFP fluorescence was activated at the transcription site using one full-power pulse of a 405-nm laser for 1.635 s. Time-lapse imaging was done after bleaching, in two phases: a fast acquisition (593 Hz for FRAP and 612 Hz for photoactivation) for 30 frames followed by a second acquisition at 100 Hz for 50 frames. We tested constant imaging frequencies of 500, 200 and 100 Hz for 80 frames to check that the frequency change did not influence the recovery, verifying that data from the constant-frequency protocol were consistent with those from our dual-frequency protocol (data not shown). With the wide-field microscope used for live-cell imaging, the cells were photoactivated or bleached using a Mosaic Digital Diaphragm System (Photonic Instruments) and imaged in three dimensions over time using a 200-nm z-axis step size over a range of 2.2 μm to capture the transcription site, which moves in three dimensions. Three three-dimensional stacks were acquired before bleaching. The recovery was imaged in two phases. Milliseconds after bleaching, three-dimensional stacks were acquired every 3 s for 120 s. Then the stacks were acquired every 10 s for 500 s. Each stack was composed of 11 frames. The three-dimensional data were transformed into two-dimensional movies using a maximum projection, and the data were analyzed using the same protocol as for analysis of the confocal data, described below. Pol II diffusion was imaged in two dimensions, one image every 0.5 s for the first 25 s and then one every 0.6 s for 60 s. The spot-size experiments were done with a Zeiss LSM 5 Live DuoScan microscope and images were acquired in two dimensions every 0.5 s for 10 s, then every 3 s for 90 s and finally every 5 s for 50 s.

Drug treatments. For DRB treatment, stable cells expressing YFP-Pol II and growing regularly in α -amanitin (25 $\mu\text{g ml}^{-1}$), were transfected, plated and induced with doxycycline. After 30 min of induction, DRB (Sigma) was added to the medium at 37–50 $\mu\text{g ml}^{-1}$. FRAP experiments were done 10–60 min after drug treatment. For actinomycin D treatment, doxycycline-induced cells transfected with GFP-MS2 were incubated with 5 $\mu\text{g ml}^{-1}$ actinomycin D (Sigma) for 20 min and transcription sites were photobleached thereafter. For camptothecin treatment, doxycycline-induced cells transfected with GFP-MS2 were incubated with 14 μM of (S)-(+)-camptothecin (Sigma) for 15–45 min as described⁴². The experiments were done on a Zeiss confocal microscope at 37 °C using the FCS2 live-cell chamber system (Bioprotechs) and an objective heater (Bioprotechs). Cells were scanned using a 488-nm laser for detection of

GFP-MS2 and a 543-nm laser for detection of RFP-LacI repressor protein at the locus. To compare the microscope systems, we also photobleached doxycycline-induced cells without drug treatment, and the recovery curve was identical to the one collected on the Leica confocal system (Fig. 9b).

Mechanistic kinetic modeling. FRAP and photoactivation experiments were modeled using ProcessDB (Integrative Bioinformatics; <http://www.integrativebioinformatics.com>) to generate the systems of differential equations, and Berkeley Madonna (<http://www.berkeleymadonna.com>) was used to solve and fit the models. Table 1 summarizes all the kinetic parameters obtained in this paper and explains how they were calculated.

Additional methods. Information on microscopy, image analysis, single-RNA quantification and statistical analysis is available in the **Supplementary Methods** online and at <http://singerlab.org/>.

Note: Supplementary information is available on the Nature Structural & Molecular Biology website.

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AUTHOR CONTRIBUTIONS

All data were initially acquired by X.D. and Y.S.-T. Subsequent data were obtained by V.d.T. (Fig. 4a,b and Fig. 5a) and Y.B. (Fig. 9b). S.M.S. was responsible for the microscopy, built the wide-field microscope for live-cell imaging and wrote analysis software. X.D. performed the kinetic modeling. R.D.P. provided consultation on model formulation and testing, and training in the use of the ProcessDB software. R.H.S. supervised the project.

COMPETING INTERESTS STATEMENT

The authors declare competing financial interests: details accompany the full-text HTML version of the paper at <http://www.nature.com/nsmb/>.

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